

Section 4.3 – How Derivatives Affect the Shape of a Graph

- Increasing/Decreasing Test

(a) If $f'(x) > 0$ on an interval, then f is increasing on that interval.

إذا كانت المشتقة موجبة يعني الدالة بتزيد (صاعدة)

(b) If $f'(x) < 0$ on an interval, then f is decreasing on that interval.

إذا كانت المشتقة سالبة يعني الدالة تنقل (نازلة)

Example 1

Find where the function $f(x) = 3x^4 - 4x^3 - 12x^2 + 5$ is increasing and where it is decreasing.

Solution

بـ إيجاد الحل، حسب sign chart بالخطوات التالية

$$f'(x) = 12x^3 - 12x^2 - 24x \quad \rightarrow \textcircled{1}$$

Since $f'(x)$ is polynomial, it exists $\forall x \in \mathbb{R}$
therefore critical points only exist for $f'(x) = 0$

$$12x^3 - 12x^2 - 24x = 0$$

$$12x(x^2 - x - 2) = 0$$

$$12x(x-2)(x+1) = 0$$

$$x = 0 \quad \text{or} \quad x = 2 \quad \text{or} \quad x = -1 \quad \rightarrow \textcircled{2}$$

| | | | | | | | |
|---------|-----------|------|------|-----|-----|-----|----------|
| | $-\infty$ | -2 | -1 | 0 | 2 | 3 | ∞ |
| $12x$ | | - | - | + | + | | |
| $x-2$ | | - | - | - | - | + | |
| $x+1$ | | - | + | + | + | + | |
| $f'(x)$ | | (-) | (+) | (-) | (+) | | |
| $f(x)$ | | ↘ | ↗ | ↘ | ↗ | | |

(3)

$f(x)$ is increasing on $(-1, 0) \cup (2, \infty)$ and is decreasing on $(-\infty, -1) \cup (0, 2)$

(4)

- Suppose that c is a critical number of a continuous function f .

تغير إشارة f' حول نقطة معادلة f' أو \min .

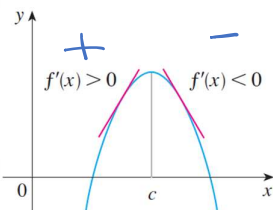
(a) If f' changes from positive to negative at c , then f has a local maximum at c .

$+$ → $-$

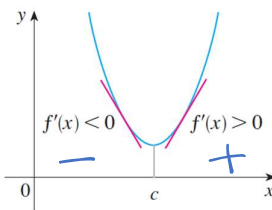
(b) If f' changes from negative to positive at c , then f has a local minimum at c .

$-$ → $+$

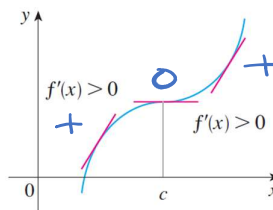
(c) If f' is positive to the left and right of c , or negative to the left and right of c , then f has no local maximum or minimum at c .



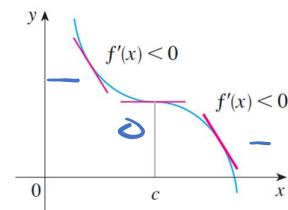
(a) Local maximum



(b) Local minimum



(c) No maximum or minimum



(d) No maximum or minimum

Example 3

Find the local maximum and minimum values of the function

$$g(x) = x + 2 \sin x \quad 0 \leq x \leq 2\pi$$

Solution

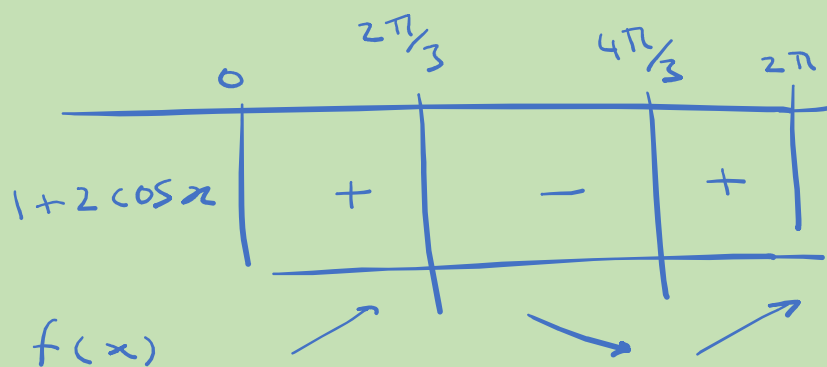
$$g'(x) = 1 + 2 \cos x$$

$$g'(x) \text{ exist } \forall x \in \mathbb{R}$$

$$1 + 2 \cos x = 0$$

$$\cos x = -\frac{1}{2}$$

$$x = \frac{2\pi}{3} \quad \text{or} \quad x = \frac{4\pi}{3}$$



$$f\left(\frac{2\pi}{3}\right) = \frac{2\pi}{3} + 2 \sin\left(\frac{2\pi}{3}\right)$$

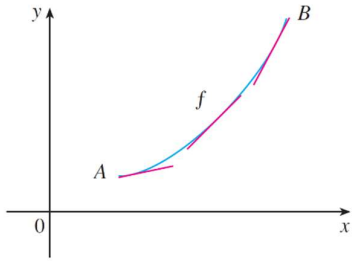
$$= \frac{2\pi}{3} + 2 \cdot \frac{\sqrt{3}}{2} = \frac{2\pi}{3} + \sqrt{3} \text{ is local max.}$$

$$f\left(\frac{4\pi}{3}\right) = \frac{4\pi}{3} + 2 \sin\left(\frac{4\pi}{3}\right)$$

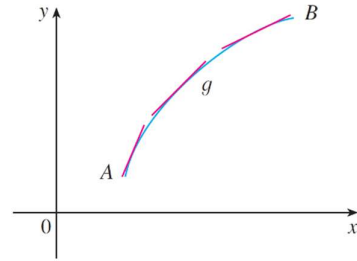
$$= \frac{4\pi}{3} + 2 \cdot \frac{-\sqrt{3}}{2} = \frac{4\pi}{3} - \sqrt{3} \text{ is local min.}$$

- Concavity:

If the graph of f lies above all of its tangents on an interval I , then it is called concave upward on I . If the graph of f lies below all of its tangents on I , it is called concave downward on I .



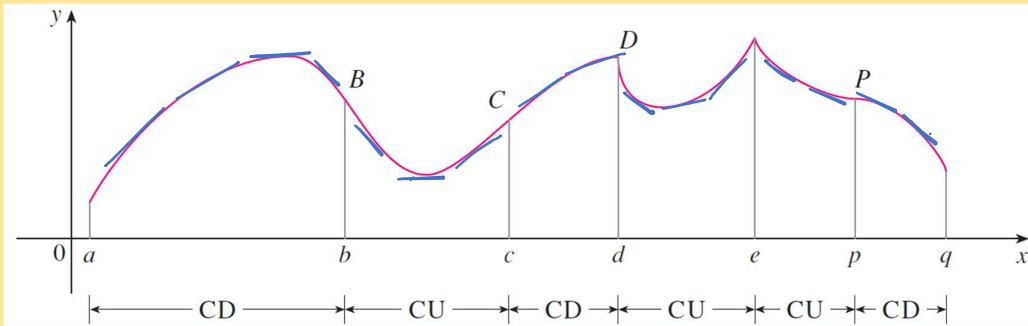
Concave upward
لأن المنحنى فوق المماس



Concave downward
لأن المنحنى تحت المماس

لاحظ

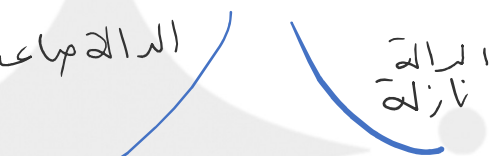
أو concavity ليس لها علاقة بالصعود والهبوط



Concavity Test

- (a) If $f''(x) > 0$ for all x in I , then the graph of f is concave upward on I .
 (b) If $f''(x) < 0$ for all x in I , then the graph of f is concave downward on I .

الدالة صاعدة



CU

موجبة f''

الدالة
نازلة

الدالة
صاعدة

الدالة
نازلة

CD

سالبة f''

Example 4

Discuss the curve $y = x^4 - 4x^3$ with respect to concavity.

Solution

$$y' = 4x^3 - 12x^2$$

$$y'' = 12x^2 - 24x \quad \rightarrow \textcircled{1}$$

$$12x^2 - 24x = 0$$

$$12x(x - 2) = 0$$

$$x = 0 \quad \text{or} \quad x = 2 \quad \rightarrow \textcircled{2}$$

| | | | | | | | |
|----------|-----------|------|-----|-----|-----|-----|----------|
| | $-\infty$ | -1 | 0 | 1 | 2 | 3 | ∞ |
| $12x$ | | - | | + | | + | |
| $x-2$ | | - | | - | | + | |
| $f''(x)$ | | + | | - | | + | |
| $f(x)$ | | ∪ | | ∩ | | ∪ | |

$f(x)$ is concave up on $(-\infty, 0) \cup (2, \infty)$

and is concave down on $(0, 2)$

Inflection point

تغيير اتجاه المنحنى من مقعر الى مقعر

A point P on a curve $y = f(x)$ is called an inflection point if f is continuous there and the curve changes from concave upward to concave downward or from concave downward to concave upward at P .

- The Second Derivative Test:

طريقة مشتقة ثانية لـ local max/min

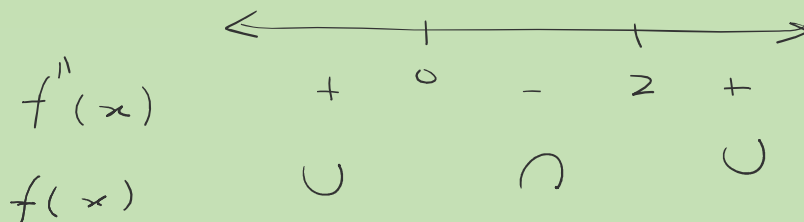
Suppose f'' is continuous near c .

(a) If $f'(c) = 0$ and $f''(c) > 0$, then f has a local minimum at c .

(b) If $f'(c) = 0$ and $f''(c) < 0$, then f has a local maximum at c .

Example 5

Discuss the points of inflection and local maxima and minima for the function in example 4.

Solution

$x = 0, 2$ are inflection points

$$f'(x) = 4x^3 - 12x^2$$

$$4x^3 - 12x^2 = 0$$

$$4x^2(x - 3) = 0$$

$$x = 0 \quad \text{or} \quad x = 3$$

$$f''(0) = 0 \quad \text{inconclusive}$$

⇒ first derivative test

$$f''(3) = 12(3)^2 - 24(3) = 36 > 0$$

$$f(3) = 3^4 - 4(3)^3 = -27$$

point $(3, -27)$ is local min.

by the Second Derivative Test

لاحظ

The **Second Derivative Test** is inconclusive when $f''(c) = 0$.

عيني لا نستطيع الحكم!
لذلك في الاختبار، دائما ما نستخدم 1st derivative test
إذا لم يجد

Example 6

Discuss the curve $f(x) = x^{2/3}(6-x)^{1/3}$ with respect to concavity, points of inflection, and local maxima and minima.

Solution

$$\begin{aligned}
 f'(x) &= x^{2/3} \cdot \frac{1}{3} (6-x)^{-2/3} \cdot -1 + \frac{2}{3} x^{-1/3} (6-x)^{1/3} \\
 &= \frac{-x^{2/3}}{3(6-x)^{2/3}} + \frac{2(6-x)^{1/3}}{3x^{1/3}} \\
 &= \frac{-x^{2/3} \cdot x^{1/3} + 2(6-x)^{2/3} (6-x)^{1/3}}{3x^{1/3} (6-x)^{2/3}} \\
 &= \frac{-x + 2(6-x)}{3x^{1/3} (6-x)^{2/3}} \\
 &= \frac{-x + 12 - 2x}{3x^{1/3} (6-x)^{2/3}} = \frac{12 - 3x}{3x^{1/3} (6-x)^{2/3}} \\
 &= \frac{4-x}{x^{1/3} (6-x)^{2/3}} \longrightarrow \textcircled{1}
 \end{aligned}$$

$$4 - x = 0 \Rightarrow x = 4$$

$f'(x)$ DNE for $x = 0$ and $x = 6$

therefore critical points are 0, 4, 6

| | $-\infty$ | -1 | 0 | 1 | 4 | 5 | 6 | 7 | ∞ |
|---------------|-----------|------------|----------|------------|-----|------------|----------|------------|----------|
| $4 - x$ | | + | \oplus | + | | - | \oplus | - | |
| $x^{1/3}$ | | - | | + | | + | | + | |
| $(6-x)^{2/3}$ | | + | | + | | + | | + | |
| $f'(x)$ | | - | | + | | - | | - | |
| $f(x)$ | | \searrow | | \nearrow | | \searrow | | \searrow | |

$f(0) = 0$ is a local min

$f(4) = 4^{2/3} (6-4)^{1/3} = 2^{5/3}$ is a local max.

$$f''(x) = \frac{-8}{x^{4/3} (6-x)^{5/3}}$$

| | $-\infty$ | -1 | 0 | 1 | 6 | 7 | ∞ |
|---------------|-----------|--------|-----|--------|-----|--------|----------|
| -8 | | - | | - | | - | |
| $x^{4/3}$ | | + | | + | | + | |
| $(6-x)^{5/3}$ | | + | | + | | - | |
| | | - | | - | | + | |
| | | \cap | | \cap | | \cup | |

$f(6) = 0$
is the only
inflection
point

Problems

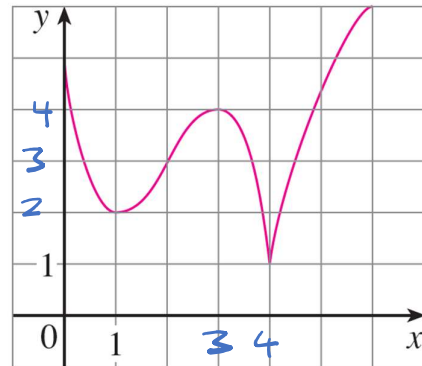
- Use the given graph of f to find the following.

(a) The open intervals on which f is increasing.

$$(1, 3) \cup (4, 6)$$

(b) The open intervals on which f is decreasing.

$$(0, 1) \cup (3, 4)$$



(c) The open intervals on which f is concave upward.

$$(0, 2)$$

(d) The open intervals on which f is concave downward.

$$(2, 6)$$

(e) The coordinates of the points of inflection.

$(2, 3)$ is the only point of inflection

- For each of the following functions:

1. Find the intervals on which f is increasing or decreasing.
2. Find the local maximum and minimum values of f .
3. Find the intervals of concavity and the inflection points.

(a) $f(x) = \frac{x^2}{x^2+3}$

$$f'(x) = \frac{(x^2+3) \cdot 2x - x^2(2x)}{(x^2+3)^2}$$

$$= \frac{2x^3 + 6x - 2x^3}{(x^2+3)^2} = \frac{6x}{(x^2+3)^2}$$

$f'(x)$ is defined everywhere

$6x = 0 \Rightarrow x = 0$ is the only cp

| | | | | | |
|-------------|-----------|------|-----|-----|----------|
| | $-\infty$ | -1 | 0 | 1 | ∞ |
| $6x$ | - | - | 0 | + | + |
| $(x^2+3)^2$ | + | + | + | + | + |
| $f'(x)$ | - | - | 0 | + | + |
| $f(x)$ | ↘ | | ↔ | ↗ | |

$f(x)$ is increasing on $(-\infty, 0)$
and is decreasing on $(0, \infty)$

$f(0) = 0$ is local min.

$$f''(x) = \frac{(x^2+3)^2 \cdot 6 - 6x \cdot 2(x^2+3) \cdot 2x}{(x^2+3)^4}$$

$$= \frac{\cancel{(x^2+3)} [6 - 24x^2]}{(x^2+3)^{4-3}} = \frac{6 - 24x^2}{(x^2+3)^3}$$

$$6 - 24x^2 = 0$$

$$6(1 - 4x^2) = 0$$

$$6(1 - 2x)(1 + 2x) = 0$$

$$1 - 2x = 0$$

or

$$1 + 2x = 0$$

$$x = \frac{1}{2}$$

$$x = -\frac{1}{2}$$

| | | | | | | | |
|-------------|-----------|------|----------------|-----|---------------|-----|----------|
| | $-\infty$ | -1 | $-\frac{1}{2}$ | 0 | $\frac{1}{2}$ | 1 | ∞ |
| $6 - 24x^2$ | | - | + | | - | | |
| $(x^2+3)^2$ | | + | + | | + | | |
| $f''(x)$ | | - | + | | - | | |
| $f(x)$ | | ∩ | ∪ | | ∩ | | |

$f(x)$ concave up $(-\frac{1}{2}, \frac{1}{2})$

concave down $(-\infty, -\frac{1}{2}) \cup (\frac{1}{2}, \infty)$

$$f\left(\frac{1}{2}\right) = \frac{\left(\frac{1}{2}\right)^2}{\left(\frac{1}{2}\right)^2 + 3} = \frac{1}{4\left(\frac{1}{4} + 3\right)} = \frac{1}{13}$$

$$f\left(-\frac{1}{2}\right) = \frac{1}{13}$$

$\left(\frac{1}{2}, \frac{1}{13}\right)$ and $\left(-\frac{1}{2}, \frac{1}{13}\right)$
are inflection points

(b) $f(x) = \cos^2 x - 2 \sin x$, $0 \leq x \leq 2\pi$

$$f'(x) = 2 \cos x (-\sin x) - 2 \cos x$$

$$-2 \sin x \cos x - 2 \cos x = 0$$

$$-2 \cos x (\sin x + 1) = 0$$

$$\cos x = 0$$

or

$$\sin x = -1$$

$$x = \frac{\pi}{2}, \frac{3\pi}{2}$$

$$x = \frac{3\pi}{2}$$

| | 0 | $\frac{\pi}{4}$ | $\frac{\pi}{2}$ | π | $\frac{3\pi}{2}$ | $\frac{7\pi}{4}$ | 2π |
|--------------|---|-----------------|-----------------|-------|------------------|------------------|--------|
| $-2 \cos x$ | | - | | + | | - | |
| $\sin x + 1$ | | + | | + | | + | |
| $f'(x)$ | | - | | + | | - | |
| $f(x)$ | | | | | | | |

$f(x)$ is increasing $(\frac{\pi}{2}, \frac{3\pi}{2})$

decreasing $(0, \frac{\pi}{2}) \cup (\frac{3\pi}{2}, 2\pi)$

$$f(\frac{\pi}{2}) = \frac{0}{(\cos \frac{\pi}{2})^2} - 2 \sin \frac{\pi}{2} = -2$$

$$f(\frac{3\pi}{2}) = \frac{0}{(\cos \frac{3\pi}{2})^2} - 2 \sin \frac{3\pi}{2} = 2$$

$(\frac{\pi}{2}, -2)$ is local min.

$(\frac{3\pi}{2}, 2)$ is local max.

$$\begin{aligned}
 f''(x) &= -2 [\sin x \cdot -\sin x + \cos x \cdot \cos x] + 2 \sin x \\
 &= 2 \sin^2 x - 2 \cos^2 x + 2 \sin x \\
 &= 2 \sin^2 x - 2(1 - \sin^2 x) + 2 \sin x \\
 &= 4 \sin^2 x + 2 \sin x - 2
 \end{aligned}$$

$$4 \sin^2 x + 2 \sin x - 2 = 0 \quad \div 2$$

$$2 \sin^2 x + \sin x - 1 = 0$$

$$(2 \sin x - 1)(\sin x + 1) = 0$$

$$\sin x = \frac{1}{2} \quad \text{or} \quad \sin x = -1$$

$$x = \frac{\pi}{6}, \frac{5\pi}{6} \quad x = \frac{3\pi}{2}$$

| | 0 | $\frac{\pi}{6}$ | $\frac{\pi}{4}$ | $\frac{5\pi}{6}$ | π | $\frac{3\pi}{2}$ | $\frac{7\pi}{4}$ | 2π |
|----------------|---|-----------------|-----------------|------------------|-------|------------------|------------------|--------|
| $2 \sin x - 1$ | - | - | + | - | - | - | - | - |
| $\sin x + 1$ | + | + | + | + | + | + | + | + |
| $f''(x)$ | - | + | - | - | - | - | - | - |
| $f(x)$ | ∩ | ∪ | ∩ | ∩ | ∩ | ∩ | ∩ | ∩ |

$f(x)$ is concave up $(\frac{\pi}{6}, \frac{5\pi}{6})$

concave down $(0, \frac{\pi}{6}) \cup (\frac{5\pi}{6}, 2\pi)$

$$f\left(\frac{\pi}{6}\right) = \left(\cos \frac{\pi}{6}\right)^2 - 2 \sin \frac{\pi}{6} = -\frac{1}{4}$$

$$f\left(\frac{5\pi}{6}\right) = \left(\cos \frac{5\pi}{6}\right)^2 - 2 \sin \frac{5\pi}{6} = -\frac{1}{4}$$

are
inflection
points

(c) $f(x) = x^2 \ln x$

$$f'(x) = x^2 \cdot \frac{1}{x} + 2x \ln x = x + 2x \ln x$$

$$f''(x) = 1 + 2x \cdot \frac{1}{x} + 2 \ln x = 3 + 2 \ln x$$

(d) $f(x) = \ln(x^2 + 9)$

$$f'(x) = \frac{2x}{x^2 + 9}$$

$$\begin{aligned} f''(x) &= \frac{(x^2 + 9) \cdot 2 - 2x(2x)}{(x^2 + 9)^2} \\ &= \frac{2x^2 + 18 - 4x^2}{(x^2 + 9)^2} = \frac{-2x^2 + 18}{(x^2 + 9)^2} \end{aligned}$$

$$(e) f(x) = \sqrt{x^2 + 1} - x$$

$$f'(x) = \frac{1}{2}(x^2 + 1)^{-1/2} \cdot 2x - 1$$

$$= \frac{x}{(x^2 + 1)^{1/2}} - 1$$

$$f''(x) = \frac{(x^2 + 1)^{1/2} - x \cdot \frac{1}{2}(x^2 + 1)^{-1/2} \cdot 2x}{x^2 + 1}$$

$$= \frac{(x^2 + 1)^{1/2} - \frac{x^2}{(x^2 + 1)^{1/2}}}{x^2 + 1} \cdot \frac{(x^2 + 1)^{1/2}}{(x^2 + 1)^{1/2}}$$

$$= \frac{\cancel{x^2} + 1 - \cancel{x^2}}{(x^2 + 1)^{3/2}} = \frac{1}{(x^2 + 1)^{3/2}}$$

$$(f) f(x) = \ln(1 - \ln x)$$

$$f'(x) = \frac{-\frac{1}{x}}{1 - \ln x} = \frac{-1}{x - x \ln x}$$

$$f''(x) = \frac{0 - (-1) \left[1 - \cancel{x} \cdot \frac{1}{\cancel{x}} + \ln x \right]}{(x - x \ln x)^2}$$

$$= \frac{\ln x}{(x - x \ln x)^2}$$

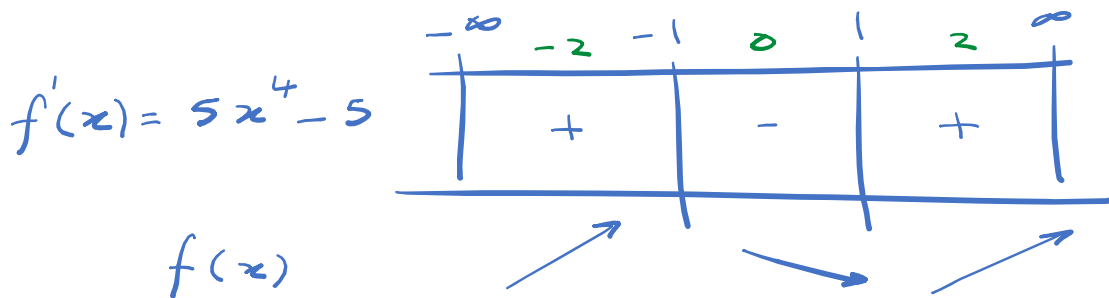
- Find the local maximum and minimum values of f using both the First and Second Derivative Tests.

(a) $f(x) = x^5 - 5x + 3$

$$f' = 5x^4 - 5$$

$$5x^4 - 5 = 0 \Rightarrow 5x^4 = 5 \Rightarrow x^4 = 1$$

$$x = \pm 1$$



$$f(-1) = (-1)^5 - 5(-1) + 3 = 7$$

$$f(1) = 1^5 - 5(1) + 3 = -1$$

$(-1, 7)$ is a local max. } by 1st derivative test
 $(1, -1)$ is a local min. }

$$f''(x) = 20x^3$$

$$f''(-1) = 20(-1)^3 = -20 < 0 \quad \text{local max.}$$

$$f''(1) = 20(1)^3 = 20 > 0 \quad \text{local min.}$$

by 2nd derivative test

- (a) Find the critical numbers of $f(x) = x^4(x-1)^3$.

$$\begin{aligned} f'(x) &= x^4 \cdot 3(x-1)^2 + 4x^3(x-1)^3 \\ &= x^3(x-1)^2 [3x + 4(x-1)] \\ &= x^3(x-1)^2(7x-4) \end{aligned}$$

$$x^3(x-1)^2(7x-4) = 0$$

$$x = 0 \quad \text{or} \quad x = 1 \quad \text{or} \quad x = \frac{4}{7}$$

$f'(x)$ is a polynomial \Rightarrow defined $\forall x \in \mathbb{R}$
therefore $0, 1, \frac{4}{7}$ are the only critical points

(b) What does the Second Derivative Test tell you about the behavior of f at these critical numbers?

$$\begin{aligned} f''(x) &= x^3(x-1)^2 \cdot 7 + x^3 \cdot 2(x-1)(7x-4) \\ &\quad + 3x^2(x-1)^2(7x-4) \\ &= x^2(x-1) [7x(x-1) + 2x(7x-4) + 3(x-1)(7x-4)] \end{aligned}$$

$$\left. \begin{aligned} f''(0) &= 0 \\ f''(1) &= 0 \end{aligned} \right\} \text{The Second Derivative Test} \\ \text{is } \underline{\text{inconclusive}}$$

$$\begin{aligned} f''\left(\frac{4}{7}\right) &= \left(\frac{4}{7}\right)^2 \left(-\frac{3}{7}\right) \left[4\left(-\frac{3}{7}\right) + \frac{8}{7}(4-4) + 3\left(-\frac{3}{7}\right)(4-4)\right] \\ &= \left(\frac{4}{7}\right)^2 \left(-\frac{3}{7}\right) 4 \left(-\frac{3}{7}\right) > 0 \end{aligned}$$

$\left(\frac{4}{7}, f\left(\frac{4}{7}\right)\right)$ is a local min

(c) What does the First Derivative Test tell you?

| | $-\infty$ | -1 | 0 | $\frac{1}{2}$ | $\frac{4}{7}$ | $\frac{3}{4}$ | 1 | 2 | ∞ |
|-----------|-----------|------------|-----|---------------|---------------|---------------|-----|------------|----------|
| x^3 | | - | | + | | + | | + | |
| $(x-1)^2$ | | + | | + | | + | | + | |
| $(7x-4)$ | | - | | - | | + | | + | |
| $f'(x)$ | | + | | - | | + | | + | |
| $f(x)$ | | \nearrow | | \searrow | | \nearrow | | \nearrow | |

$f(0) = 0$ is a local max.

$f\left(\frac{4}{7}\right) = \left(\frac{4}{7}\right)^4 \left(\frac{4}{7} - 1\right)^3$ is a local min.

No local max or min at $x = 1$

- The graph of the derivative f' of a continuous function f is shown.

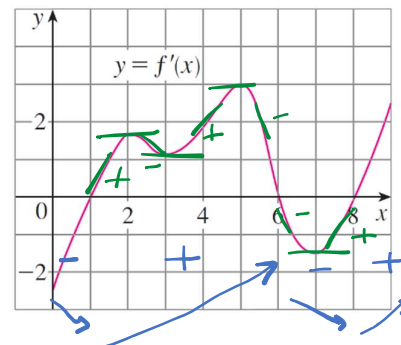
(a) On what intervals is f increasing? Decreasing?

Increasing

$$(1, 6) \cup (8, 9)$$

Decreasing

$$(0, 1) \cup (6, 8)$$



(b) At what values of x does f have a local maximum? Local minimum?

$f(1)$ and $f(8)$ are local minima

$f(6)$ is a local maximum

(c) On what intervals is f concave upward? Concave downward?

Concave up

$$(0, 2) \cup (3, 5) \cup (7, 9)$$

Concave down

$$(2, 3) \cup (5, 7)$$

(d) State the x -coordinate(s) of the point(s) of inflection.

$$x = 2, 3, 5, \text{ and } 7$$